

Period Lengths for Iterated Functions.

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Let Ω_n be the n^n -element set consisting of functions that have $\{1, 2, 3, \dots, n\}$ as both domain and codomain. Let $\mathbf{T}(f)$ be the order of f , i.e. the period of the sequence $f, f^{(2)}, f^{(3)}, f^{(4)} \dots$ of compositional iterates. A closely related number, $\mathbf{B}(f)$ = the product of the lengths of the cycles of f , has previously been used as an approximation for \mathbf{T} . This paper proves that the average values of these two quantities are quite different. The expected value of \mathbf{T} is

$$\frac{1}{n^n} \sum_{f \in \Omega_n} \mathbf{T}(f) = \exp \left(k_0 \sqrt[3]{\frac{n}{\log^2 n}} (1 + o(1)) \right),$$

where k_0 is a complicated but explicitly defined constant that is approximately 3.36. The expected value of \mathbf{B} is much larger:

$$\frac{1}{n^n} \sum_{f \in \Omega_n} \mathbf{B}(f) = \exp \left(\frac{3}{2} \sqrt[3]{n} (1 + o(1)) \right).$$

1. Introduction

Let Ω_n be the n^n -element set consisting of functions that have $[n] = \{1, 2, 3, \dots, n\}$ as both domain and codomain, and let $f^{(t)}$ denote f composed with itself t times. Since Ω_n is finite, it is clear that, for any $f \in \Omega_n$, the sequence of compositional iterates

$$f, f^{(2)}, f^{(3)}, f^{(4)} \dots$$

must eventually repeat. Define $\mathbf{T}(f)$ to be the period of this sequence, i.e. the least T such that, for all $m \geq n$,

$$f^{(m+T)} = f^{(m)}.$$

We say $v \in [n]$ is a *cyclic vertex* if there is a t such that $f^{(t)}(v) = v$. The restriction of f to its cyclic vertices is a permutation of the cyclic vertices, and the period \mathbf{T} is just the order of this permutation, i.e. the least common multiple of the cycle lengths.

Harris showed that $\mathbf{T}(f) = e^{\frac{1}{3} \log^2 n (1+o(1))}$ for most functions f . To make this precise, let \mathbb{P}_n denote the uniform probability measure on Ω_n ; $\mathbb{P}_n(\{f\}) = n^{-n}$ for all f . Define

$h_n = \frac{1}{8} \log^2 n$, $b_n = \frac{1}{\sqrt{24}} \log^{3/2} n$, and $\phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^x e^{-t^2/2} dt$. Using Erdős and Turán's seminal results[5], Harris proved Theorem 1.1.

Theorem 1.1. (Harris[9]) For any fixed x ,

$$\lim_{n \rightarrow \infty} \mathbb{P}_n \left(\frac{\log \mathbf{T} - h_n}{b_n} \leq x \right) = \phi(x).$$

Remark. Harris actually stated his theorem for a closely related random variable $\mathbf{O}(f)$ = the number of distinct functions in the sequence $f, f^{(2)}, f^{(3)}, \dots$. However it is clear from his proof that Theorem 1.1 holds too. In fact, it is straightforward to verify that, for all $f \in \Omega_n$, $|\mathbf{O}(f) - \mathbf{T}(f)| < n$. Related inequalities have been proved by Dénes [4].

Let $\mathbf{B}(f)$ be the product, with multiplicities, of the lengths of the cycles of f . Obviously $\mathbf{T}(f) \leq \mathbf{B}(f)$ for all f , and for some exceptional functions $\mathbf{B}(f)$ is *much* larger than $\mathbf{T}(f)$. For example, if f is a permutation with $n/3$ cycles of length 3, then $\mathbf{B}(f) = 3^{n/3}$, but $\mathbf{T}(f) = 3$. (See sequence A000792 in [15] for information about the maximum value that \mathbf{B} can have.) On the other hand, the maximum value \mathbf{T} can have is $e^{\sqrt{n \log n}(1+o(1))}$ [11],[12],[17]. However, for most random functions $f \in \Omega_n$, $\mathbf{B}(f)$ is a reasonably good approximation for $\mathbf{T}(f)$. For example, consider Proposition 1, which is stated below, and deduced in section 3 from earlier work by Arratia and Tavaré [1].

Proposition 1.2. There constant $c > 0$ such that, for any positive integer n and any positive real number ℓ ,

$$\mathbb{P}_n(\log \mathbf{B} - \log \mathbf{T} \geq \ell) \leq \frac{c \log n (\log \log n)^2}{\ell}.$$

Although $\log \mathbf{B}(f)$ and $\log \mathbf{T}(f)$ are approximately equal for most functions f , the set of exceptional functions is nevertheless large enough so that the expected values of the two random variables \mathbf{B} and \mathbf{T} are quite different. The following theorem will be proved in section 2.

Theorem 1.3. $\frac{1}{n^n} \sum_{f \in \Omega_n} \mathbf{B}(f) = \exp\left(\frac{3}{2} \sqrt[3]{n}(1 + o(1))\right)$.

To state a corresponding theorem for \mathbf{T} , we need to define some constants. First define $I = \int_0^\infty \log \log\left(\frac{e}{1-e^{-t}}\right) dt$. Then define $k_0 = \frac{3}{2}(3I)^{2/3}$. Theorem 1.4 is stated here, and proved in section 3.

Theorem 1.4.

$$\frac{1}{n^n} \sum_{f \in \Omega_n} \mathbf{T}(f) = \exp\left(k_0 \sqrt[3]{\frac{n}{\log^2 n}}(1 + o(1))\right).$$

2. Expected Value of \mathbf{B}

Let $\mathcal{Z}(f)$ be the set of cyclic vertices of f , and let $\mathbf{Z} = |\mathcal{Z}|$ be the number of cyclic vertices. It is well known that the restriction of a uniform random mapping to its set \mathcal{Z} of cyclic vertices, is a uniform random permutation of \mathcal{Z} . Let S_m be the set of all bijections from $[m]$ onto $[m]$. Let $\mu_0 = 1$, and for $m \geq 1$, let

$$\mu_m = \frac{1}{m!} \sum_{\sigma \in S_m} \mathbf{B}(\sigma)$$

be the expected value of the product of the cycle lengths of a uniform random permutation of $[m]$. Then

$$\mathbb{E}_n(\mathbf{B}) = \sum_{m=1}^n \mathbb{P}_n(\mathbf{Z} = m) \mathbb{E}_n(\mathbf{B} | \mathbf{Z} = m) = \sum_{m=1}^n \mathbb{P}_n(\mathbf{Z} = m) \mu_m. \quad (2.1)$$

Theorem 1.3 will be proved directly by estimating the sum in (2.1). Two lemmas make this possible. The first of the two lemmas is an explicit formula for $\mathbb{P}_n(\mathbf{Z} = m)$ that appears in [10] and is attributed to Rubin and Sitgreaves.

Lemma 2.1. $\mathbb{P}_n(\mathbf{Z} = m) = \frac{n!m}{(n-m)!n^{m+1}} \leq \frac{n!}{(n-m)!n^m}$.

The second of the two lemmas that are needed for the proof of Theorem 1.3 is Lemma 2.2 below. This asymptotic formula for μ_m appeared in the author's doctoral dissertation [14].

Lemma 2.2. $\mu_m \sim \frac{e^{2\sqrt{m}}}{2\sqrt{\pi e} m^{3/4}}$.

Proof. If $\sigma \in S_m$ is factored into disjoint cycles, then there is a unique cycle τ_σ that contains the number n . Let V_σ be the set of numbers in this cycle. Consider the unique factorization

$$\sigma = \tau_\sigma \pi_\sigma, \quad (2.2)$$

where π_σ is the permutation of $V_\sigma^c = [m] \setminus V_\sigma$ that is obtained by restricting σ to V_σ^c . Since the length of the cycle τ_σ is $|V_\sigma|$, we have

$$\mathbf{B}(\sigma) = |V_\sigma| \mathbf{B}(\pi_\sigma).$$

Given a set $V \subseteq [m]$, there are exactly $(|V| - 1)!$ ways to form a cycle from the elements of V . Hence

$$\mu_m = \frac{1}{m!} \sum_{V \subseteq [m], m \in V} (|V| - 1)! \sum_{\pi} |V| \mathbf{B}(\pi), \quad (2.3)$$

where, in the inner sum, π is summed over all $(m - |V|)!$ permutations of V^c . Therefore

$$\mu_m = \frac{1}{m!} \sum_{V \subseteq [m], m \in V} |V|! (m - |V|)! \mu_{m-|V|} = \frac{1}{m!} \sum_{\ell=1}^m \binom{m-1}{\ell-1} \ell! (m-\ell)! \mu_{m-\ell}.$$

Thus we have a very simple recurrence formula: for all $m \geq 1$,

$$m\mu_m = \sum_{\ell=1}^m \ell\mu_{m-\ell}. \quad (2.4)$$

Now consider the generating function

$$F(x) = e^{\frac{x}{1-x}} = 1 + x + \frac{3}{2}x^2 + \frac{13}{6}x^3 + \dots$$

Observe that

$$xF'(x) = F(x) \sum_{\ell=1}^{\infty} \ell x^{\ell}.$$

Thus the coefficients of F satisfy the recurrence (2.4), and

$$F(x) = \sum_{m=0}^{\infty} \mu_m x^m = e^{\frac{x}{1-x}}.$$

Flajolet and Sedgewick point out that this the exponential generating function for the number of “fragmented permutations”. On page 562 of [6], they describe how the saddle point method can be used to prove that $\mu_m \sim \frac{e^{2\sqrt{m}}}{2\sqrt{\pi e} m^{3/4}}$. \square

For the purposes of proving Theorem 1.3, we need only a weak corollary to Lemma 2.2.

Corollary 2.3. *For any $\epsilon > 0$, there is an N_ϵ such that, for all $m > N_\epsilon$,*

$$e^{(2-\epsilon)\sqrt{m}} < \mu_m < e^{(2+\epsilon)\sqrt{m}}.$$

We have now assembled everything that is needed to prove Theorem 1.3.

Proof of Theorem 1.3 Let $m_* = \lfloor n^{2/3} \rfloor$. Given $\epsilon > 0$ we can, by Corollary 2.3, choose n sufficiently large so that $m_* > N_\epsilon$ and $\mu_{m_*} > e^{(2-\epsilon)2\sqrt{m_*}}$. Putting this, and Lemma 2.1, into (2.1), we get

$$\mathbb{E}_n(\mathbf{B}) \geq \mathbb{P}_n(\mathbf{Z} = m_*)\mu_{m_*} = \frac{n!m_*}{(n-m_*)!n^{m_*+1}} e^{(2-\epsilon)\sqrt{m_*}}. \quad (2.5)$$

Applying Stirling’s formula, we get

$$\log \left(\frac{n!m_*}{(n-m_*)!n^{m_*+1}} e^{(2-\epsilon)\sqrt{m_*}} \right) = -\frac{m_*^2}{2n} + (2-\epsilon)\sqrt{m_*} + O(\log n).$$

Therefore, for all sufficiently large n , we have the lower bound

$$\mathbb{E}_n(\mathbf{B}) \geq e^{(1-\epsilon)\frac{3}{2}\sqrt[3]{n}}.$$

For the upper bound, define $U_{n,\epsilon}(m) = n \cdot \frac{n!}{(n-m)!n^m} e^{(1+\epsilon)2\sqrt{m}}$, and $H_{n,\epsilon}(m) = \log U_{n,\epsilon}(m)$. From Lemma 2.1 and Corollary 2.3, we have, for all sufficiently large n ,

$$\mathbb{E}_n(\mathbf{B}) \leq n \max_{m \leq n} \mathbb{P}_n(\mathbf{Z} = m)\mu_m \leq \max_{m \leq n} U_{n,\epsilon}(m) = \exp \left(\max_{m \leq n} H_{n,\epsilon}(m) \right). \quad (2.6)$$

Therefore our goal is to prove an upper bound for $\max_{m \leq n} H_{n,\epsilon}(m)$.

If we write $(n-m)! = \Gamma(n+1-m)$, then we can extend the domain of $H_{n,\epsilon}(m)$ to include all real numbers in $[1, n]$. This can only increase the maximum, and with this relaxation, $H_{n,\epsilon}(x)$ is twice differentiable. Let $\Psi(y) = \frac{\Gamma'(y)}{\Gamma(y)}$ be the logarithmic derivative of the Gamma function so that the first two derivatives of $H_{n,\epsilon}$ are

$$H'_{n,\epsilon}(x) = \Psi(n+1-x) - \log n + \frac{1+\epsilon}{\sqrt{x}}, \quad (2.7)$$

and

$$H''_{n,\epsilon}(x) = -\Psi'(n+1-x) - \frac{1+\epsilon}{2x^{3/2}}. \quad (2.8)$$

It is well known (page 260, equation 6.4.10 of [2]) that

$$\Psi'(y) = \sum_{k=0}^{\infty} \frac{1}{(y+k)^2} > 0. \quad (2.9)$$

Thus both terms of (2.8) are negative, and, for $1 \leq x \leq n$, we have

$$H''_{n,\epsilon}(x) < 0. \quad (2.10)$$

Let x_* be the unique solution to $H'_{n,\epsilon}(x) = 0$ at which $H_{n,\epsilon}$ attains its maximum. We need to estimate x_* , and then use that estimate to approximate $H_{n,\epsilon}(x_*)$.

Define $a = (1+\epsilon)^{2/3}n^{2/3}$. This first guess for the approximate location of x_* was obtained heuristically from (2.7) by first replacing $\Psi(n+1-x)$ with the approximation $\log n - \frac{x}{n}$, and then solving the resulting equation $-\frac{x}{n} + \frac{1+\epsilon}{\sqrt{x}} = 0$ for x . (To simplify notation, we write a instead of $a_{n,\epsilon}$, and x_* instead of $x_{n,\epsilon}$; it is implicit that a and x_* depend on both n and ϵ). Also let $\delta = \frac{1}{n^{1/3}}$. To prove that $(1-\delta)a < x_* < (1+\delta)a$, it suffices to verify that $H'_{n,\epsilon}((1-\delta)a) > 0$ and that $H'_{n,\epsilon}((1+\delta)a) < 0$.

It is well known [2] that

$$\Psi(y) = \log y + O\left(\frac{1}{y}\right). \quad (2.11)$$

Put (2.11) into (2.7) with $y = n+1-x$ and $x = (1-\delta)a$. Also observe that

$$\log(n+1-x) - \log n = \log\left(1 - \frac{x}{n}\right) + O\left(\frac{1}{n}\right) = -\frac{x}{n} - \frac{x^2}{2n^2} + O\left(\frac{1}{n}\right).$$

Hence

$$\begin{aligned} H'_{n,\epsilon}((1-\delta)a) &= -\frac{(1-\delta)a}{n} + \frac{1+\epsilon}{\sqrt{(1-\delta)a}} - \frac{(1-\delta)^2 a^2}{2n^2} + O\left(\frac{1}{n}\right) \\ &= \frac{(1+\epsilon)^{2/3}}{n^{1/3}} \left(\delta - 1 + \frac{1}{\sqrt{1-\delta}} - \frac{(1-\delta)^2(1+\epsilon)^{2/3}}{2n^{1/3}} \right) + O\left(\frac{1}{n}\right). \end{aligned}$$

Using $\delta - 1 - \frac{1}{\sqrt{1-\delta}} = \frac{3\delta}{2} + O(\delta^2)$, and $\delta = \frac{1}{n^{1/3}}$, we get

$$H'_{n,\epsilon}((1-\delta)a) = \frac{(1+\epsilon)^{1/3}}{2n^{2/3}} \left\{ 3 - (1+\epsilon)^{2/3} + O\left(\frac{1}{n^{1/3}}\right) \right\} + O\left(\frac{1}{n}\right).$$

If ϵ is a small positive constant, then $3 > (1+\epsilon)^{2/3}$. Therefore $H'_{n,\epsilon}((1-\delta)a) > 0$ for all

sufficiently large n . By a similar argument, $H'_{n,\epsilon}((1+\delta)a) < 0$. This completes the proof that, for all sufficiently large n , $a(1-\delta) < x_* < a(1+\delta)$.

At this point, we know that $x_* = (1 + O(\frac{1}{n^{1/3}}))(1 + \epsilon)^{2/3}n^{2/3}$. We also know from (2.6) that, for all sufficiently large n ,

$$\mathbb{E}_n(\mathbf{B}) \leq n \frac{n!}{(n-x_*)!n^{x_*}} e^{(2+\epsilon)\sqrt{x_*}}. \quad (2.12)$$

Therefore, by Stirling's formula,

$$\log \mathbb{E}_n(\mathbf{B}) \leq \frac{-x_*^2}{2n} + (2+\epsilon)\sqrt{x_*} + O(\log n) < \frac{3}{2}(1+\epsilon)\sqrt[3]{n}(1+o(1)).$$

Since ϵ can be chosen arbitrarily small, Theorem 1.3 is proved. \square

It may be possible to strengthen Theorem 1.3 by combining the methods of Hansen [8] with a Tauberian theorem or related methods for estimating the coefficients of generating functions [13]. It is surprisingly difficult to prove that the sequence $\langle \mathbb{E}_n(\mathbf{B}) \rangle_{n=1}^\infty$ is increasing, but clearly the partial sums $\langle \sum_{m=1}^n \mathbb{E}_m(\mathbf{B}) \rangle_{n=1}^\infty$ are.

3. Order

The main goal in this section is the proof of Theorem 1.4, an estimate for the average period $\mathbb{E}_n(\mathbf{T})$. However first, for comparison and perspective, we prove Proposition 1.2, concerning the typical period, that was stated in the introduction.

Proof of Proposition 1.2 Let $\mathbf{Z}(f)$ denote the number of cyclic vertices f has. Then

$$\mathbb{P}_n(\log \mathbf{B} - \log \mathbf{T} > \ell_n) = \sum_m \mathbb{P}_n(\mathbf{Z} = m) \mathbb{P}_n(\log \mathbf{B} - \log \mathbf{T} > \ell_n | \mathbf{Z} = m). \quad (3.1)$$

In the proof of Theorem 8, page 333 of [1], Arratia and Tavaré computed the expected value of $\log \mathbf{B} - \log \mathbf{T}$ given the number of cyclic vertices: $\mathbb{E}_n(\log \mathbf{B} - \log \mathbf{T} | \mathbf{Z} = m) = O(\log m(\log \log m)^2)$. Therefore, by Markov's inequality, there is a constant $c > 0$ such that, for all $\ell > 0$,

$$\mathbb{P}_n(\log \mathbf{B} - \log \mathbf{T} > \ell | \mathbf{Z} = m) \leq \frac{c \log m(\log \log m)^2}{\ell} \leq \frac{c \log n(\log \log n)^2}{\ell}. \quad (3.2)$$

Putting (3.2) back to the sum (3.1), we get the proposition. \square

The proof of Theorem 1.4 is similar that of Theorem 1.3. Instead of estimates for μ_m , we need estimates for the $M_m = \frac{1}{m!} \sum_{f \in S_m} \mathbf{T}(f)$. Define $\beta_0 = \sqrt{8I}$ where, as before,

$I = \int_0^\infty \log \log \left(\frac{e}{1-e^{-t}} \right) dt$. The constant β_0 first appears in [7], where it is proved that the expected order of a random permutation is $\exp \left(\beta_0 \sqrt{n/\log n} (1 + o(1)) \right)$. However Stong obtained a better error term [16], and this added precision is used in the proof of Theorem 1.4. See [3], and its references, for further information about the asymptotic distribution of \mathbf{T} for random permutations.

Lemma 3.1. (Stong [16]) $\log M_m = \beta_0 \sqrt{m/\log m} + O\left(\frac{\sqrt{m} \log \log m}{\log m}\right)$.

With Lemma 2.1 and Lemma 3.1 available, we can prove Theorem 1.4.

Proof of Theorem 1.4. Define $\alpha_0 = \sqrt[3]{3I}$, and let m_0^* = the closest integer to $\alpha_0 \sqrt[3]{\frac{n^2}{\log n}}$. For the lower bound, we use trivial inequality

$$\mathbb{E}_n(\mathbf{T}) = \sum_m \mathbb{P}_n(\mathbf{Z} = m) M_m \geq \mathbb{P}_n(\mathbf{Z} = m_0^*) M_{m_0^*}.$$

Then, by Lemma 2.1, Theorem 3.1, and Stirling's formula, $\mathbb{E}_n(\mathbf{T})$ is greater than

$$\begin{aligned} & \exp\left(-\frac{(m_0^*)^2}{2n} + O\left(\frac{(m_0^*)^3}{n^2}\right) + \beta_0 \sqrt{\frac{m_0^*}{\log m_0^*}} + O\left(\frac{\sqrt{m_0^*} \log \log m_0^*}{\log m_0^*}\right)\right) \\ &= \exp\left(\frac{k_0 n^{1/3}}{\log^{2/3} n} + O\left(\frac{n^{1/3} \log \log n}{\log^{7/6} n}\right)\right). \end{aligned}$$

For the upper bound, suppose $\epsilon > 0$ is a fixed but arbitrarily small positive number. Define $\beta_\epsilon = \beta_0 + \epsilon$, and $w_\epsilon(m) = \frac{n!}{(n-m)!n^{m-1}} e^{\beta_\epsilon \sqrt{m/\log m}}$. By Theorem 3.1, $M_m \leq e^{\beta_\epsilon \sqrt{m/\log m}}$ for all sufficiently large m . Therefore, for all sufficiently large n ,

$$\mathbb{E}_n(\mathbf{T}) \leq n \max_{m \leq n} \mathbb{P}_n(Z = m) M_m \leq \max_{m \leq n} w_\epsilon(m). \quad (3.3)$$

For $6 \leq m \leq n$, let $G_{n,\epsilon}(m) = \log w_\epsilon(m)$. As in (2.7), we can extend the domain and differentiate. If $6 \leq x \leq n$, then

$$G'_{n,\epsilon}(x) = \Psi(n+1-x) - \log n + \frac{\beta_\epsilon}{2\sqrt{x} \log x} \left(1 - \frac{1}{\log x}\right), \quad (3.4)$$

and

$$G''_{n,\epsilon}(x) = -\Psi'(n+1-x) + \frac{\beta_\epsilon}{4} \frac{(3 - \log^2 x)}{x^{3/2} \log^{5/2} x}. \quad (3.5)$$

As in (2.10), we use (2.9) to deduce that both terms of (3.5) are negative and $G'_{n,\epsilon}(x) < 0$ for $6 \leq x \leq n$. Let x^* be the unique solution to $G'_{n,\epsilon}(x) = 0$ at which $G_{n,\epsilon}$ attains its maximum.

As a rough approximation for x^* , define $m^* = \beta_\epsilon^{2/3} \sqrt[3]{3/8} \frac{n^{2/3}}{(\log n)^{1/3}}$. Let $\delta_n = \frac{(\log \log n)^2}{\log n}$. In order to prove that

$$(1 - \delta_n)m^* < x^* < (1 + \delta_n)m^*, \quad (3.6)$$

it suffices to verify that $G'_{n,\epsilon}((1 - \delta_n)m^*) > 0$ and $G'_{n,\epsilon}((1 + \delta_n)m^*) < 0$. Putting (2.11) in (3.4), we get

$$G'_{n,\epsilon}((1 - \delta_n)m^*) = \frac{\sqrt[3]{3\beta_\epsilon^2}}{\sqrt[3]{8n} \log n} \left\{ \delta_n - 1 + \frac{1}{\sqrt{1 - \delta_n}} + O\left(\frac{\log \log n}{\log n}\right) \right\}. \quad (3.7)$$

In (3.7), the quantity inside braces is positive for large n because $\delta_n = \frac{(\log \log n)^2}{\log n}$ and $\delta_n - 1 + \frac{1}{\sqrt{1 - \delta_n}} = \frac{3\delta_n}{2} + O(\delta_n^2)$. Therefore $G'_{n,\epsilon}((1 - \delta_n)m^*) > 0$ for all sufficiently large n .

By similar reasoning $G'_{n,\epsilon}((1 + \delta_n)m^*) < 0$. Therefore $x^* = m^*(1 + O(\frac{(\log \log n)^2}{\log n}))$. But then, by Stirling's formula, $G_{n,\epsilon}(x^*) = \frac{k_\epsilon n^{1/3}}{\log^{2/3} n}(1 + o(1))$, where

$$k_\epsilon = -\frac{(\beta_\epsilon^{2/3} \sqrt[3]{3/8})^2}{2} + \beta_\epsilon \sqrt{\frac{\beta_\epsilon^{2/3} \sqrt[3]{3/8}}{2/3}}.$$

The theorem now follows from the fact that ϵ was an arbitrarily small positive number, and $\lim_{\epsilon \rightarrow 0^+} k_\epsilon = k_0$. □

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