

# **MEM 640 Lecture 3: Zero-Order Hold (ZOH)**

# Why Discretize?

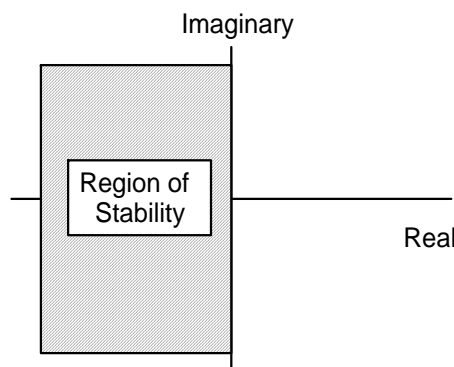
## Observation:

- Why is there a distinction between continuous-time and discrete-time?
- Stability: Left-hand s-plane versus inside unit circle
- Digital control performance is dependent on sampling time
- MEM 639 (and MEM 351): implemented computer control, but no z-Transforms?

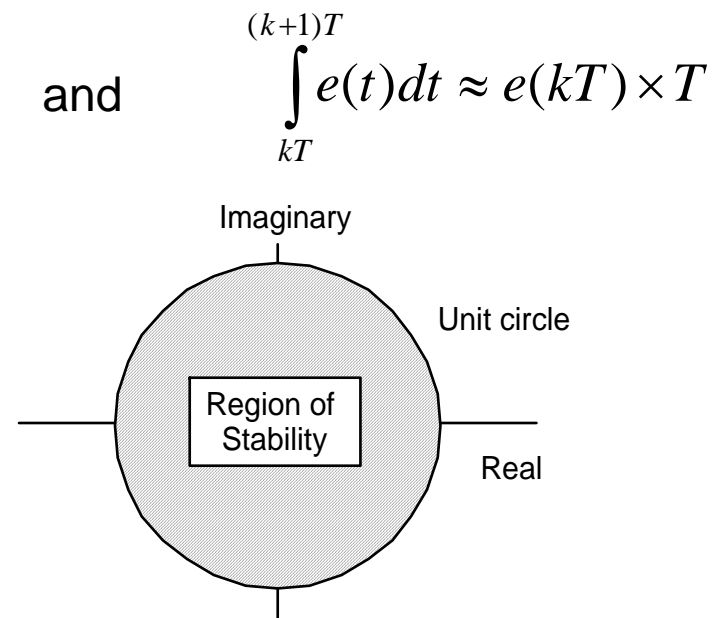
## Intuition:

- If sampling time is zero, discrete-time becomes continuous-time?
- Intuition fails because of the way we discretize

Traditionally  $\frac{de(t)}{dt} \approx \frac{e(kT) - e((k-1)T)}{T}$  and  $\int_{kT}^{(k+1)T} e(t) dt \approx e(kT) \times T$



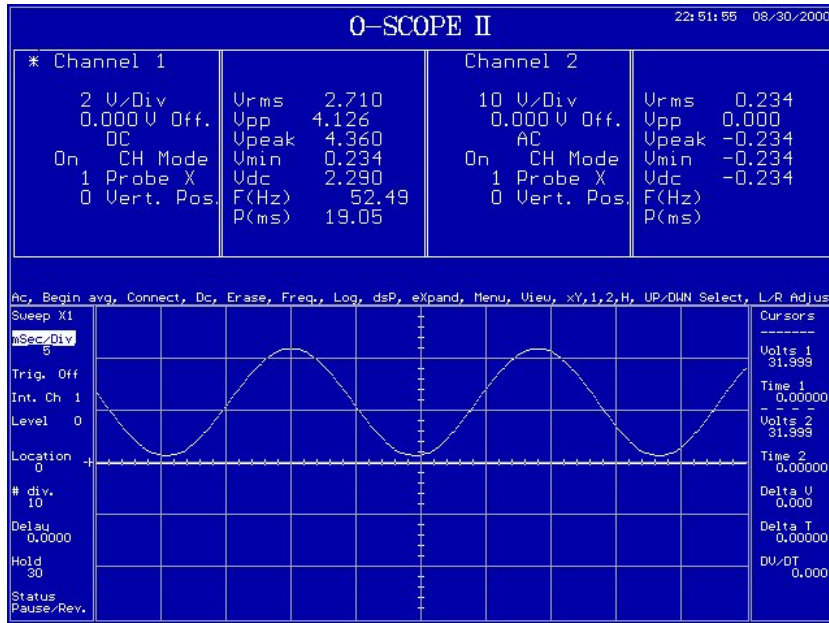
Continuous-Time: s-plane



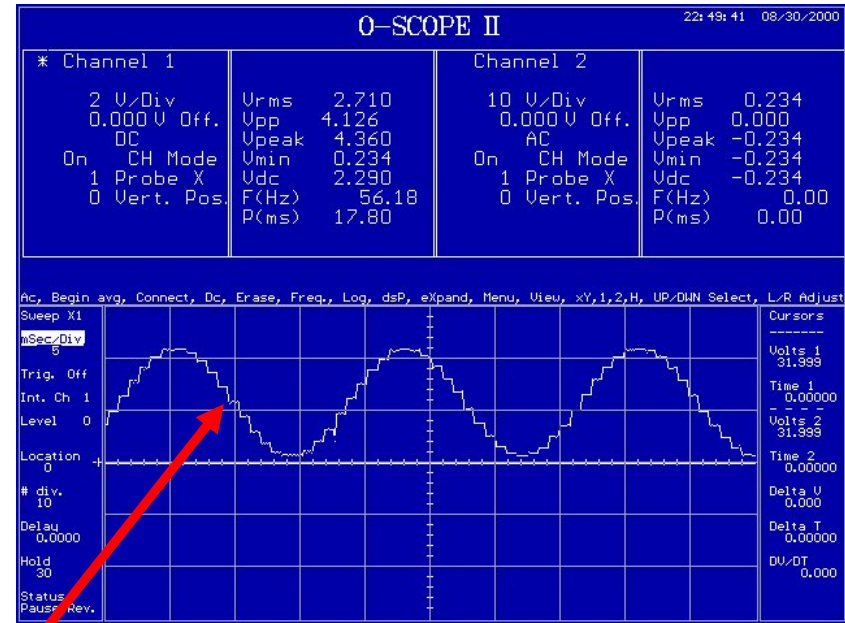
Discrete-Time: z-plane

# What's the Big Deal and Why Care?

Currently: Design with differential equations, Laplace domain, state-space  
In other words: Use continuous-time techniques



DAC output looks good



ADC takes time: ZOH Phenomena

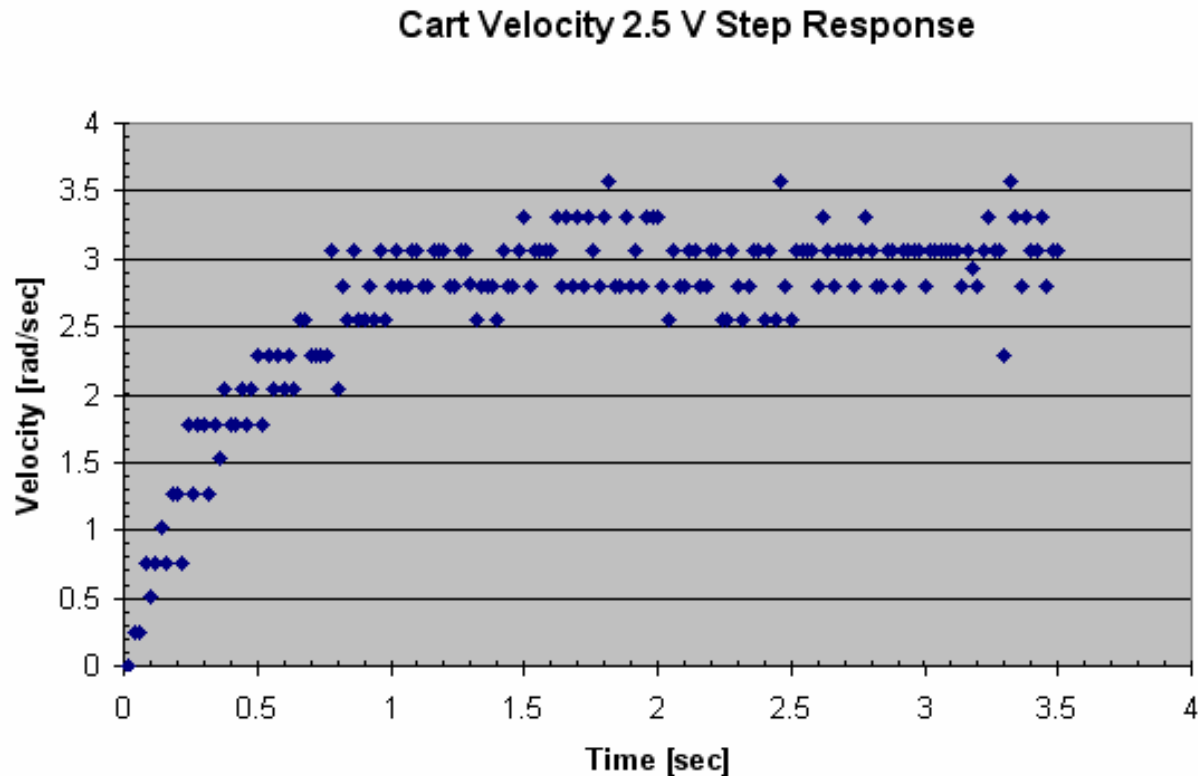
Cannot say what the signal value is in-between sampling times.  
This may be troublesome when hold-times are long.

**Consequence:** Design with difference equations, Z-domain  
and discrete-time techniques

# When Can I Get Away with only CT?

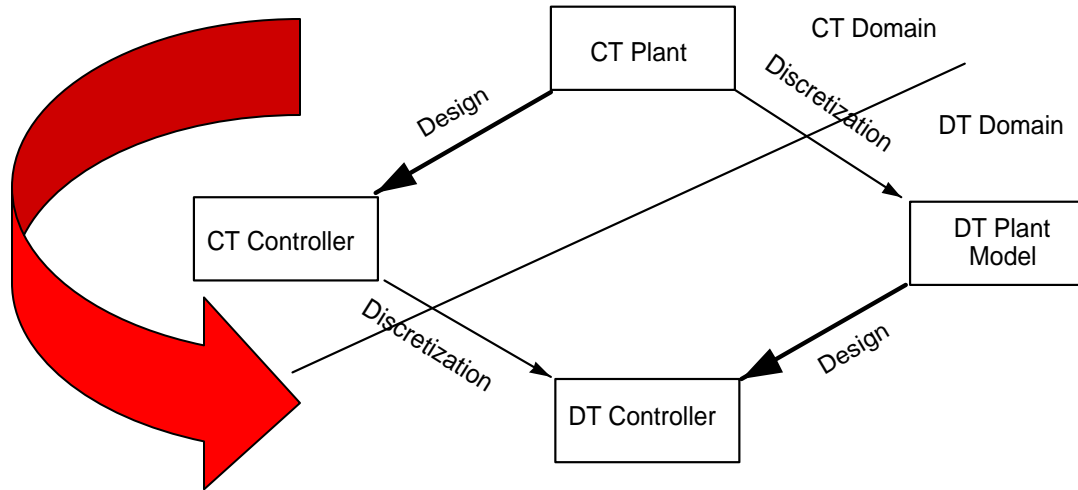
## Recall Past Experiences

- Time constant: Step response gives clues on system dynamics
- Rule of thumb: Sampling time should be 4 to 20 times the time constant



Slow system dynamics allows one to get away with continuous-time techniques.

# Two Approaches to Digital Design



## Approach 1 – Indirect Design

Step 1: design controller in continuous-time (Laplace) domain

Step 2: Discretize to obtain discrete-time controller version

Method: Replace Laplace operator  $s$  with an approximate (mapping model)

$$\frac{d}{dt} = \frac{q-1}{T} \quad \text{Forward-difference Model}$$

$$\frac{d}{dt} = \frac{q-1}{Tq} \quad \text{Backward-difference Model}$$

$$\frac{d}{dt} = \frac{q-1}{T} \frac{2}{q+1} \quad \text{Tustin's Model}$$

### Pros:

- Can use previously-learned methods  
Root-locus, Bode, Eigenvalues etc

# Indirect Design Example

**Problem:** Derive the DT version of a PD controller using backward-difference

**Solution:** Recall, the CT PD controller is given by

$$u(t) = K_P e(t) + K_D \frac{d}{dt} e(t)$$

Differentiation is approximated by the backward-difference as

$$\left. \frac{de(t)}{dt} \right|_{t=kT} \approx \frac{e(k) - e(k-1)}{T}$$

Hence the DT version of PD controller is obtained as

$$u(k) = K_P e(k) + K_D \frac{e(k) - e(k-1)}{T} = \left( K_P + \frac{K_D}{T} \right) e(k) - \frac{K_D}{T} e(k-1)$$

This means that  $u(k)$  at  $t = kT$   
is generated as a sum of the error

at the present time  
(multiplied by a constant)

and error at previous time  
(multiplied by a constant)

# Algorithm Implementation in Difference Form

Continuous PID controller given by

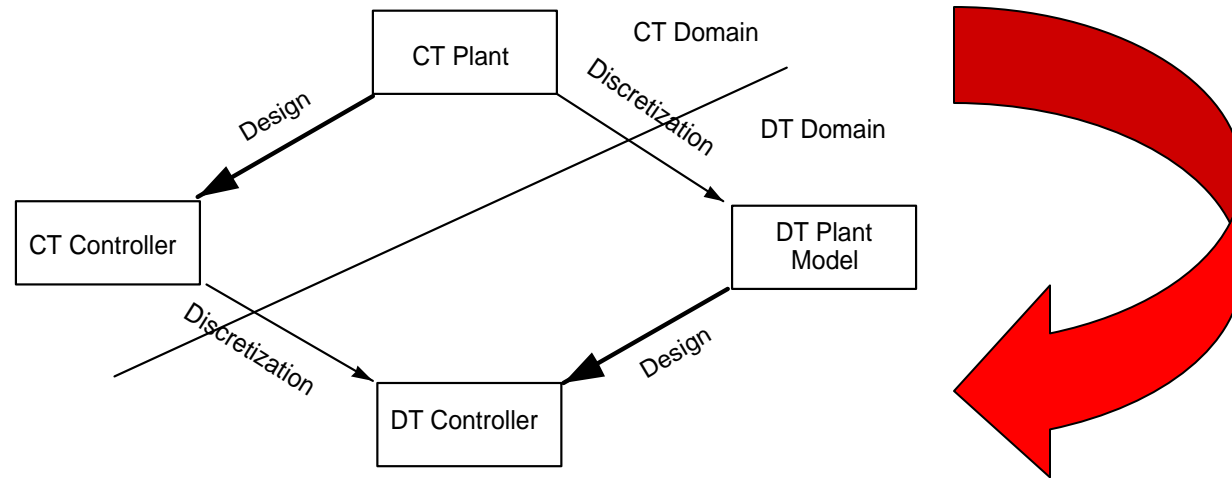
$$u(t) = K_p e(t) + K_I \int e(t) dt + K_D \frac{d}{dt} e(t)$$

```
input Kp;      % proportional gain
input Ki;      % integral gain
input Kd;      % derivative gain
input T;       % sampling interval
I = 0;         % initialization for integral
errTemp = 0;   % initialization
do {
    r = referenceSpeed();
    f = rpm(inportb(adc)); % compute speed from ADC
    err = r - f;          % calculate error
    I = I + err*T;        % integrate error
    D = (err - errTemp)/T; % differentiate error
    U = Kp*err + Ki*I + Kd*D % Control signal
    outportb(u);          % send to DAC
    errTemp = err;        % Update errTemp for next interval
} while (time equal to sampling time
```

$$\int_{kT}^{(k+1)T} e(t) dt \approx e(kT) \times T$$

$$\frac{de(t)}{dt} \approx \frac{e(kT) - e((k-1)T)}{T}$$

# Two Approaches to Digital Design



## Approach 2 – Direct Design

Step 1: Discretize CT Plant using invariant models (Z-transform)

Step 2: Design controller in DT domain

NB: Laplace s-plane becomes unit circle, Routh becomes Jury test etc

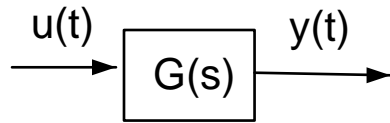
$G(z) = Z[G'(s)]$	Impulse invariant model
$G(z) = \frac{z-1}{z} Z\left[\frac{G'(s)}{Ts}\right]$	Step invariant model
$G(z) = \frac{(z-1)^2}{z} Z\left[\frac{G'(s)}{T^2 s^2}\right]$	Ramp invariant model

$G'(s)$  : CT Transfer Function

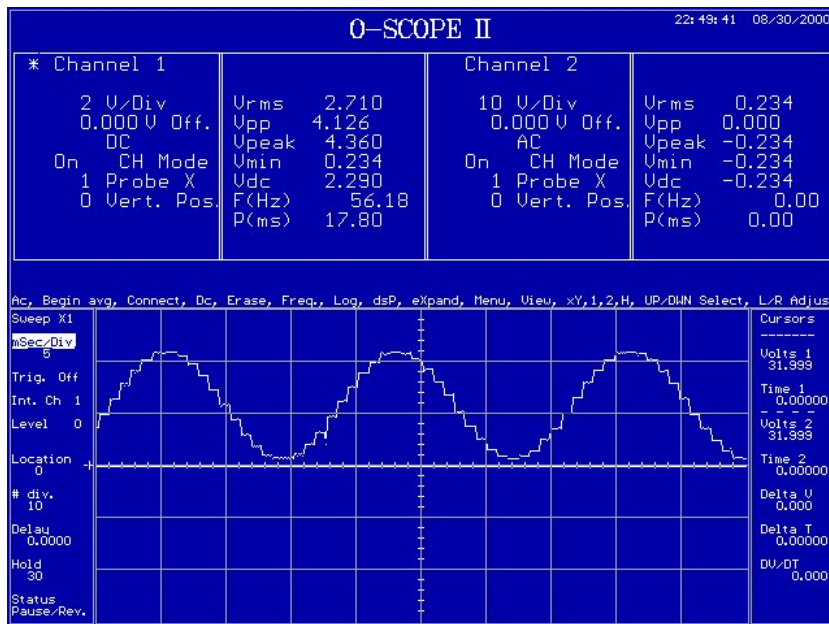
$G(z)$  : DT Transfer Function



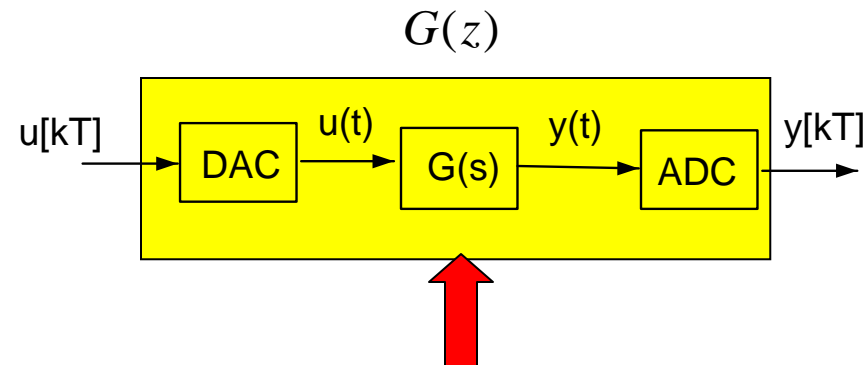
# The Need for Z-transforms



**In continuous-time:** You design controllers with differential equations (and implement with op-amps), with **Laplace transforms**, or state-space.



**In discrete-time:** You can design controllers with difference equations (and implement with code), with **Z-transforms**, or state-space.



ADC takes time: ZOH Phenomena

Must find discrete version to account for hold phenomena

$$\text{ZOH Discrete Version: } G(z) = (1 - z^{-1}) \mathcal{Z} \left\{ L^{-1} \left[ \frac{G(s)}{s} \right] \right\} \quad (1)$$

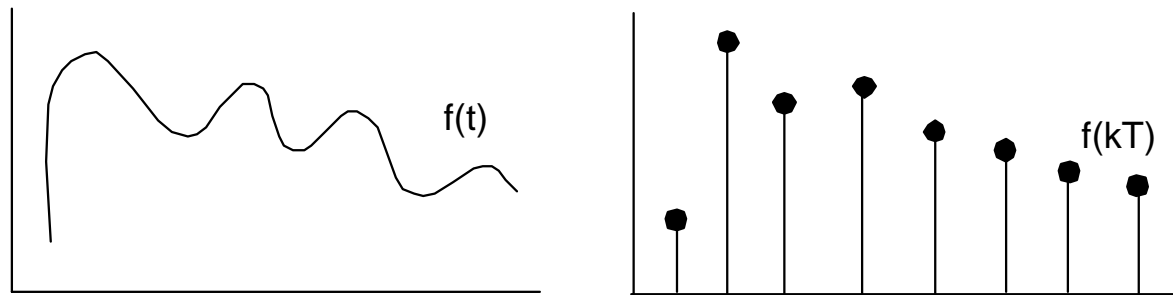
# Z-Transforms

Definition Z-Transform:

$$F(z) = Z\{f[kT]\} = \sum_{k=0}^{\infty} f[kT]z^{-k}$$

Where  $f(kT)$  is the sampled (i.e. discretized) version of  $f(t)$

Graphically have:



Mathematically have:

$$f[kT] = \sum_{k=-\infty}^{\infty} f(t) \cdot \delta(t - kT)$$

Here, subject the CT function by an impulse, thus creating sampled version

**Problem 1:** Calculate the z-transform for the unit step function

**Solution:** The unit step function given by  $f[kT]=1$

Recall definition: 
$$F(z) = Z\{f[kT]\} = \sum_{k=0}^{\infty} f[kT]z^{-k}$$

Hence: 
$$F(z) = \sum_{k=0}^{\infty} 1 \cdot z^{-k}$$

But recall that  $\sum_{k=0}^{\infty} a^k = \frac{1}{1-a}$  where  $a$  is a geometric series

Hence: 
$$F(z) = \sum (z^{-1})^k = \frac{1}{1-z^{-1}} = \frac{z}{z-1}$$

**Problem 2:** Calculate the z-transform for unit pulse

**Solution:** The unit pulse function given by  $\delta[k]$

Recall definition: 
$$F(z) = Z\{f[kT]\} = \sum_{k=0}^{\infty} f[kT]z^{-k}$$

Hence: 
$$F(z) = \sum_{k=0}^{\infty} \delta[k]z^{-k}$$

$$F(z) = \delta[0] + \cancel{\delta[1]z^{-1}} + \cancel{\delta[2]z^{-2}} + \dots = 1$$

**Problem 3:** Calculate z-transform for unit pulse with shift  $n$  to the right (i.e. delay)

**Solution:**

$$F(z) = \sum_{k=0}^{\infty} \delta[k-n]z^{-k}$$

$$F(z) = \cancel{\delta[n]z^0} + \cancel{\delta[1-n]z^{-1}} + \cancel{\delta[2-n]z^{-2}} + \dots + \delta[n-n]z^{-n} + \cancel{\delta[n+1-n]z^{-n-1}} + \dots$$

$$F(z) = z^{-n}$$

## Z-transform Property

If  $f[kT + nT]$  then  $Z\{f[kT + nT]\} = z^n F(z)$

**Proof:**  $f[kT + nT] = f[(k + n)T]$  This is just  $f[kT]$  shifted  $n$  to the right

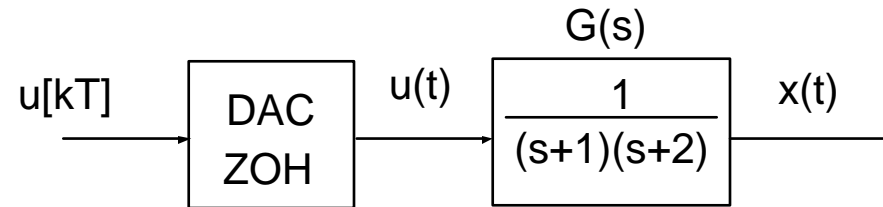
$$f[(k + n)T] = f[kT] \delta[kT + nT]$$

$$Z\{f[(k + n)T]\} = Z\{f[kT] \delta[kT + nT]\}$$

From Problem 3 solution, can say

$$Z\{f[(k + n)T]\} = z^n Z\{f[kT]\} = z^n F(z)$$

# Calculating Difference Equation via Discrete TF $G(z)$



**Problem 4:** Find the difference equation relating  $u[kT]$  to the output at the sample times  $x[kT]$  for any arbitrary unspecified sample time  $T$

**Solution:** Recall (1)  $G(z) = (1 - z^{-1})Z\left\{L^{-1}\left[\frac{G(s)}{s}\right]\right\}$

**Step 1:** Calculate  $L^{-1}\left[\frac{G(s)}{s}\right]$

Use partial fraction expansion  $\frac{1}{s} \frac{1}{(s+1)(s+2)} = \frac{A}{s} + \frac{B}{s+1} + \frac{C}{s+2}$

Yields  $L^{-1}\left\{\frac{1}{2s} - \frac{1}{s+1} - \frac{1}{2(s+2)}\right\} = \frac{1}{2}u(t) - e^{-t} + \frac{1}{2}e^{-2t}$

**Step 2:** Substitute  $t = kT$  and take z-transform

$$Z\left\{L^{-1}\left[\frac{G(s)}{s}\right]\right\} \rightarrow Z\left\{\frac{1}{2}u[kT] - e^{-kT} + \frac{1}{2}e^{-2kT}\right\} = \frac{1}{2} \frac{z}{z-1} - \frac{z}{z-e^{-T}} + \frac{1}{2} \frac{z}{z-e^{-2T}}$$

Recall Problems 1 and can use z-transform tables

**Step 3:** Multiply by  $\frac{z-1}{z}$

$$G(z) = \frac{z-1}{z} \left( \frac{z(z-e^{-T})(z-e^{-2T}) - z(z-1)(z-e^{-2T}) + z(z-1)(z-e^{-T})}{2(z-1)(z-e^{-T})(z-e^{-2T})} \right)$$

$$G(z) = \frac{1}{2} \left( \frac{z(e^{-2T} - 2e^{-T} + 1) + (e^{-3T} - 2e^{-2T} + e^{-T})}{z^2 - z(e^{-2T} + e^{-T}) + e^{-3T}} \right) = \frac{X(z)}{U(z)}$$

Hence have:

$$2(z^2 - z(e^{-2T} + e^{-T}) + e^{-3T})X(z) = (z(e^{-2T} - 2e^{-T} + 1) + (e^{-3T} - 2e^{-2T} + e^{-T}))U(z)$$

**Step 4:** Recall that  $z^n F(z)$  represents shift in time  $f[(k+n)T]$

$$2x[(k+2)T] - 2(e^{-2T} + e^{-T})x[(k+1)T] + 2e^{-3T}x[kT] = (e^{-2T} - 2e^{-T} + 1)u[(k+1)T] + (e^{-3T} - 2e^{-2T} + e^{-T})u[kT]$$